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04-02-01

PTO/SB/05 (4/98)  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. M61.12-0317

First Inventor or Application Identifier

Joseph E. Pentheroudakis et al.

Title

TOKENIZER FOR A NATURAL LANGUAGE PROCESSING SYSTEM

Express Mail Label No.

EI 844345660US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Fee Transmittal Form e.g., PTO/SB17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **52**]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention)
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **6**]
4. Oath or Declaration [Total Sheets **3**]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (Identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ \*Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Checks in the amount of \$764.00 and \$40.00

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP)

of prior application No: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**FOR CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE**

☐ Customer Number or Bar Code Label

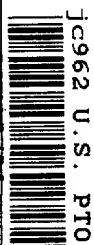
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or ☒ Correspondence address below

Name	Joseph R. Kelly WESTMAN CHAMPLIN & KELLY				
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Name (Print/type)	Theodore M. Magee	Registration No. (Attorney/Agent)	39,758
Signature	<i>Theodore M. Magee</i>	Date	3/30/01

03/30/01



## FEE TRANSMITTAL

- Complete if Known

Application No.

Filing Date

First Named Inventor

Title

TOKENIZER FOR A NATURAL LANGUAGE  
PROCESSING SYSTEM

Group Art Unit

Examiner Name

Total Amount of Payment \$ 804

Atty. Docket Number

M61.12-0317

## METHOD OF PAYMENT (Check One)

## FEE CALCULATION (Continued)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123 Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description
101	201	<input checked="" type="checkbox"/> Utility Filing Fee
106	206	<input type="checkbox"/> Design Filing Fee
108	208	<input type="checkbox"/> Reissue Filing Fee
114	214	<input type="checkbox"/> Prov. Filing Fee

Subtotal (1) \$ 710

## 2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	23	20	3	18	54
Indep.	3	3	0	80	0

Multiple Dependent Claims

\*\* Insert 3 and 20, or number previously paid if greater, Reissue see below

Large Entity Fee Code	Small Entity Fee Code	Description
103	203	Claims in excess of 20
102	202	Independent claims in excess of 3
104	204	Multiple Dependent Claims
109	209	Reissue Independent Claims over Original Patent
110	210	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 54

## 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105	205	Surcharge - Late filing fee or oath	65
127	227	Surcharge - Late provisional Filing Fee or cover sheet	25
139	239	Non-English specification	130
147	2520	For Filing a Request for Reexamination	2,520
115	215	Extension for reply within first month	55
116	216	Extension for reply within second month	195
117	217	Extension for reply within third month	445
118	218	Extension for reply within fourth month	695
128	280	Extension for reply within fifth month	945
120	220	Filing a brief in support of an appeal	155
121	221	Request for oral hearing	135
148	248	Terminal Disclaimer Fee	55
140	240	Petition to Revive - unavoidable	55
141	241	Petition to Revive - unintentional	620
142	242	Utility/Reissue issue fee (inc. advance copies)	650
143	243	Design issue fee (inc. advance copies)	250
122	222	Petitions to the Commissioner	130
123	223	Petitions related to provisional applications	50
126	226	Submission of Information Disclosure Statement	180
581	581	Recording each patent assignment per property (times number of properties)	40

Other Fee (specify) \_\_\_\_\_

Subtotal (3) \$40

Signature

(Theodore M. Magee)

Reg. No. 39,758

Date

3-30-01

Deposit Account No. 23-1123